

# SECURITIES TRANSFER FORM

**FOR VALUE RECEIVED, the undersigned hereby sells, assigns and transfers unto:**

|   |                        |             |
|---|------------------------|-------------|
| Name(s) of the Transferee(s) receiving the securities   |                        |             |
| Street Address of Transferee  |                        | City / Town |
| Province / State  | Postal Code / Zip Code | Country     |
| Social Insurance / Taxpayer Identification Number of Transferee   |                        |             |
| <div> <div>SIN</div> <div> <div></div><div></div><div></div> </div> <div> <div></div><div></div><div></div> </div> <div> <div></div><div></div><div></div> </div> </div> <div> <div>TIN</div> <div> <div></div><div></div><div></div> </div> <div> <div></div><div></div> </div> <div> <div></div><div></div><div></div><div></div> </div> </div> |                        |             |

## SECURITIES TO BE TRANSFERRED:

|                               |   |
|-------------------------------|---|
| Number of Securities          | Type of Securities<br>(shares, warrants, units or rights)                                     |
| OR                            |   |
| Principal Amount              | %<br>Type of Debt Instrument, Interest Rate and Maturity Date<br>(debentures, bonds or notes) |
| Registered in the name of:    | Name(s) of transferor as it appears on the certificate or statement                           |
| Held on the books of:         | Name of Issuer of the Securities  |
| Certificate / Account number: | If Securities are held electronically, indicate the DRS account number of transferor          |

**SIGNATURE OF TRANSFEROR:** The undersigned hereby irrevocably constitutes and appoints Olympia Trust Company as attorney to transfer the above noted securities with full power of substitution in this matter.

|  |   |
|--|---|
| <div>Signature 1</div> <div>Signature 2 (if jointly held)</div> <div>Date:</div> <div>Telephone:</div> | Signature Guarantee or Medallion Guarantee: |
|--|---|

## INSTRUCTIONS FOR SECURITIES TRANSFER FORM

### 1. Transferee Information

- Provide the full name and mailing address of the Transferee.
- If Securities are being transferred to joint owners, clearly indicate one of the following choices when completing the Transferee information. Failure to clearly indicate one of the following will result in the default of **TEN COM**.
  - **JT TEN:** Joint Tenants with Right of Survivorship
  - **TEN COM:** Tenants in Common

### 2. Direct Registration System (DRS)

- If the Issuer participates in the Direct Registration System, the Securities issued to the Transferee will be held electronically in DRS with Olympia. The Transferee will receive a DRS Advice evidencing ownership of the transferred Securities.
- Securities held electronically in DRS carry the same rights and privileges as those held in physical certificate form.
- Check the below box only if the Transferee does not wish to have the Securities held electronically in DRS and wishes to receive a physical certificate.
  - **Physical certificate requested:** ☐

### 3. Cost Basis: US Residents only

- Pursuant to Internal Revenue Service regulations, if the transfer of Securities involves a US resident Olympia Trust Company is required to request cost basis information.
- Provide the applicable transaction details below. Failure to complete this section will result in Olympia Trust Company treating the transaction as a **GIFT**.
- It is recommended that you consult with your tax advisor to discuss the implications of each type of transaction.

|                                       |                      | Value per security on date of Gift/Sale/Death |   |
|---------------------------------------|----------------------|---|---|
| <input type="checkbox"/> Gift         | Date of Gift: _____  | \$ _____                                      | <input type="checkbox"/> USD <input type="checkbox"/> CAD |
| <input type="checkbox"/> Private Sale | Date of Sale: _____  | \$ _____                                      | <input type="checkbox"/> USD <input type="checkbox"/> CAD |
| <input type="checkbox"/> Inheritance  | Date of Death: _____ | \$ _____                                      | <input type="checkbox"/> USD <input type="checkbox"/> CAD |

### 4. Signature of Transferor

- All current owners of the Securities or their legally authorized representatives must sign the Securities Transfer Form.
- In certain situations, proof of appointment / authorization and additional supporting documentation may be required.

### 5. Signature Guarantee / Medallion Guarantee

- The signature of the Transferor(s) must be guaranteed by an eligible institution in one of the following manners:
  - **Medallion Guaranteed** by a bank, broker, investment dealer or other institution under an acceptable Medallion Signature Guarantee program (STAMP, SEMP or MSP).
  - **Signature Guaranteed, Signature and Authority to Sign Guaranteed or Endorsement Guaranteed** by an authorized signing officer of:
    - Bank of Nova Scotia (Scotiabank)
    - The Toronto-Dominion Bank (TD Canada Trust)
    - Royal Bank of Canada (RBC Royal Bank)

**Deliver Securities Transfer Form and related documentation to:** Olympia Trust Company – CSS Operations  
PO Box 128 STN M, Calgary AB T2P 2H6  
Inquiries: 587.774.2340 / [cssinquiries@olympiatrust.com](mailto:cssinquiries@olympiatrust.com)